

# **Direct Detection vs. Coherent SNR Inside the Datacenter**

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Will Coherent Optics Become a Reality  
for Intra-data Center Applications?

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**FINISAR®**

# Background: CH vs. DD Signal, ECOC'18 WS

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$$\begin{aligned} P_{PD-S-CH} - P_{PD-S-DD} = & A_{TX-DD} - A_{TX-CH}/2 \\ & + A_{RX-DD} - A_{RX-CH} \\ & + A_{SMF}/2 - A_{TEC} \end{aligned}$$

## Ex. Client Optics

- EML TX w/ TFF WDM Mux intrinsic loss: 5dB
- PIN RX w/ TFF WDM DeMux intrinsic loss: 1dB
- SMF FR loss budget (4dB) /2: 2dB
  
- Coherent SiP TX intrinsic loss /2: 7dB
- Coherent SiP RX intrinsic loss: 3dB
- TEC loss 4dB

Direct Detection has 6dB higher signal for client optics

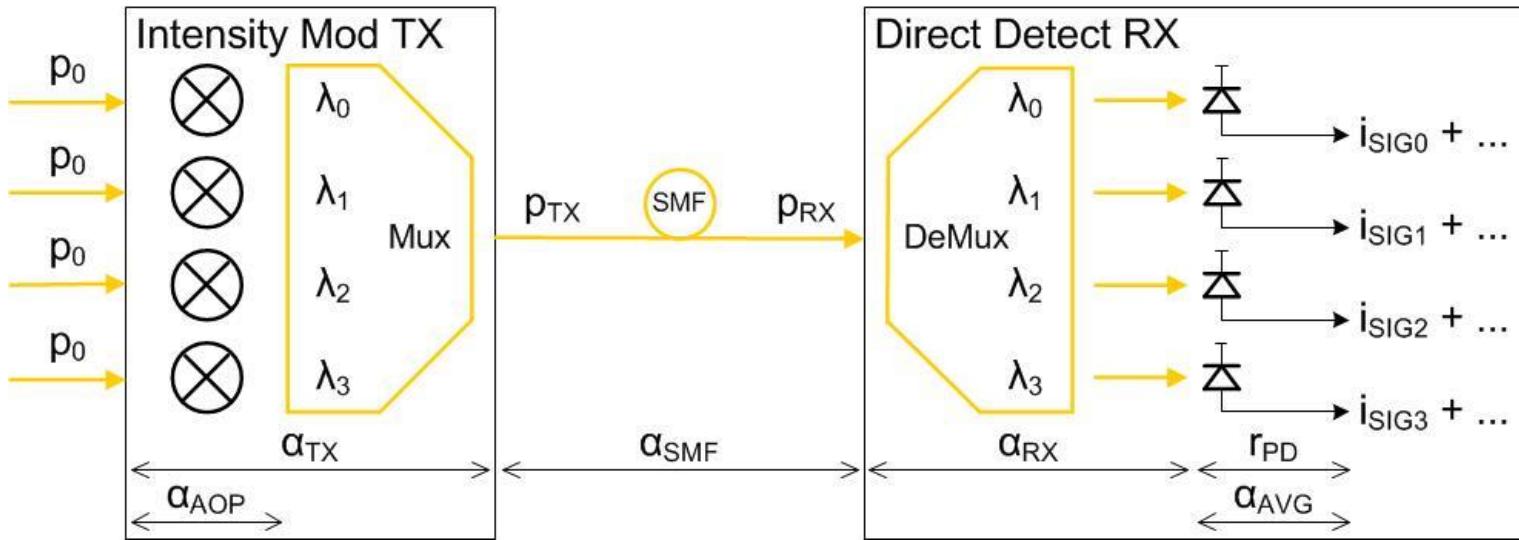
# Introduction: Critical Datacenter Spec is SNR

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## CWDM4 λs 1km SMF Spec Limits

- L0 λ: 1271nm (1264.5 to 1277.5nm span)  
 $\lambda_{\min} = 1264.5\text{nm}$  and  $\lambda_{\text{zero\_dispersion\_max}} = 1324\text{nm}$ :
  - Dispersion = -6 ps/nm
  - PMD = 0.5 ps
  - Loss = 0.47dB
- L3 λ: 1331nm (1324.5 to 1337.5nm span)  
 $\lambda_{\max} = 1337.5\text{nm}$  and  $\lambda_{\text{zero\_dispersion\_min}} = 1304\text{nm}$ :
  - Dispersion = 3 ps/nm
  - PMD = 0.5 ps
  - Loss = 0.43dB
- Inside the Datacenter, link impairments are minor
- TX/RX signal path loss and Link total loss (connectors, SMF, other passives) drives SNR, and TX/RX architecture

# Direct Detection (DD) Signal Path



$$p_{IN-TX} = 4 p_0$$

$$p_{TX} = \alpha_{TX} \alpha_{AOP} p_{IN-TX}$$

$$p_{RX} = \alpha_{SMF} p_{TX}$$

$$p_{PD} = \alpha_{RX} p_{RX} / 4$$

$$i_{SIG} = \alpha_{AVG} r_{PD} p_{PD}$$

# Direct Detection (DD) Signal Path Variables

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$p_0 \triangleq$  Input POP (Peak Optical Power) reference

$p_{\text{IN-TX}} \triangleq$  TX input POP, = AOP (Average OP) if CW

$\alpha_{\text{AOP}} \triangleq$  TX POP to AOP modulation loss vs. er (extinction ratio)

$\alpha_{\text{TX}} \triangleq$  TX path intrinsic loss at modulator bias point

$p_{\text{TX}} \triangleq$  TX total output AOP

$\alpha_{\text{SMF}} \triangleq$  Link total power loss (connectors, SMF, other passives)

$p_{\text{RX}} \triangleq$  RX total input AOP

$\alpha_{\text{RX}} \triangleq$  RX path intrinsic loss

$p_{\text{PD}}, r_{\text{PD}} \triangleq$  RX PD input AOP, responsivity

$\alpha_{\text{AVG}} \triangleq$  PD AOP to average electrical signal power loss vs. er

# Direct Detection (DD) SNR

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$i_{SIG} \triangleq$  RX PD signal current

$$i_{SIG} = \alpha_{AVG} r_{PD} p_{PD}$$

$$i_{SIG} = \alpha_{AVG} \alpha_{RX} \alpha_{SMF} \alpha_{TX} \alpha_{AOP} r_{PD} p_0$$

$i_N \triangleq$  RX input referred noise current; all sources

$\alpha_N \triangleq$  RX input noise current loss vs. reference

$i_{ND}, i_0 \triangleq$  RX input noise current density, reference

BW  $\triangleq$  RX input noise current bandwidth

$$i_N = i_{ND} \sqrt{BW}$$

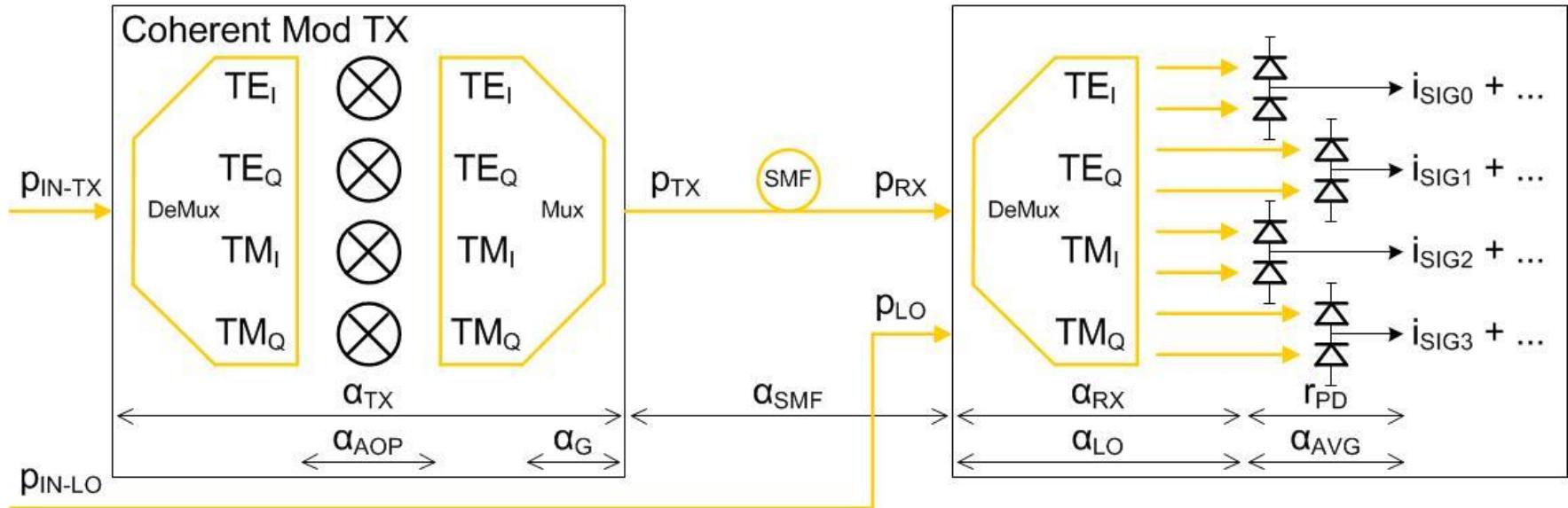
$$i_{ND} = \alpha_N i_0$$

$$i_N = \alpha_N i_0 \sqrt{BW}$$

$$snr = (i_{SIG} / i_N)^2$$

$$\sqrt{snr} = \alpha_{AVG} \alpha_{RX} \alpha_{SMF} \alpha_{TX} \alpha_{AOP} r_{PD} p_0 / (\alpha_N i_0 \sqrt{BW})$$

# Coherent (CH) Signal Path



$$p_{IN-TX} = 4 \alpha_{LS} \alpha_{TEC} p_0$$

$$p_{TX} = \alpha_G \alpha_{TX} \alpha_{AOP} p_{IN-TX}$$

$$p_{IN-LO} = 4 (1 - \alpha_{LS}) \alpha_{TEC} p_0$$

$$p_{RX} = \alpha_{SMF} \alpha_{TX}$$

$$p_{PD-RX} = \alpha_{RX} p_{RX} / 4$$

$$p_{LO} = p_{IN-LO}$$

$$p_{PD-LO} = \alpha_{LO} p_{LO} / 4$$

$$i_{SIG} = \alpha_{AVG} r_{PD} 2 \sqrt{(p_{PD-RX} p_{PD-LO})}$$

# Coherent (CH) Signal Path Variables

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$p_0 \triangleq$  Input POP (Peak Optical Power) reference

$\alpha_{\text{TEC}} \triangleq$  Input POP loss due to laser TEC current

$\alpha_{\text{LS}} \triangleq$  TX input POP loss due to  $(1 - \alpha_{\text{LS}})$  split with LO input

$p_{\text{IN-TX}} \triangleq$  TX input POP = AOP since CW

$\alpha_{\text{AOP}} \triangleq$  TX POP to AOP modulation loss vs. MD (mod. drive)

$\alpha_{\text{TX}} \triangleq$  TX path intrinsic loss at modulator bias point

$\alpha_G \triangleq$  TX optical gain ( $\alpha_G = 1$  if no amplification)

$p_{\text{TX}} \triangleq$  TX total output AOP

$\alpha_{\text{SMF}} \triangleq$  Link total power loss (connectors, SMF, other passives)

$p_{\text{RX}} \triangleq$  RX total input AOP

$p_{\text{LO}} \triangleq$  RX LO input AOP

$\alpha_{\text{RX}}, \alpha_{\text{LO}} \triangleq$  RX, RX LO path intrinsic loss

$p_{\text{PD}}, r_{\text{PD}} \triangleq$  RX balanced PD pair input AOP, responsivity

$\alpha_{\text{AVG}} \triangleq$  PD AOP to average electrical signal power loss vs. MD

# Coherent (CH) SNR

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$i_{SIG} \triangleq$  RX balanced PD pair signal current

$$i_{SIG} = \alpha_{AVG} r_{PD} 2 \sqrt{(p_{PD-RX} p_{PD-LO})} \cos(\Phi)$$

$$\cos(\Phi) \triangleq 1$$

$$\alpha_{LS} \triangleq 1/2, \alpha_{LO} \triangleq \alpha_{RX}$$

$$i_{SIG} = \alpha_{AVG} \alpha_{RX} \sqrt{(\alpha_{SMF} \alpha_G \alpha_{TX} \alpha_{AOP})} \alpha_{TEC} r_{PD} p_0$$

$i_N \triangleq$  RX input referred noise current; all sources

$\alpha_N \triangleq$  RX input noise current loss vs. reference

$i_{ND}, i_0 \triangleq$  RX input noise current density, reference

BW  $\triangleq$  RX input noise current bandwidth

$$i_{ND} = \alpha_N i_0$$

$$i_N = \alpha_N i_0 \sqrt{BW}$$

$$snr = (i_{SIG} / i_N)^2$$

$$\sqrt{snr} = \alpha_{AVG} \alpha_{RX} \sqrt{(\alpha_{SMF} \alpha_G \alpha_{TX} \alpha_{AOP})} \alpha_{TEC} r_{PD} p_0 / (\alpha_N i_0 \sqrt{BW})$$

# DD vs. CH: $\Delta \text{SNR}_{\text{DD-CH}} = \text{SNR}_{\text{DD}} - \text{SNR}_{\text{CH}}$ dB

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$$\sqrt{\text{snr}_{\text{DD}}} = \alpha_{\text{AVG}} \alpha_{\text{RX}} \alpha_{\text{SMF}} \alpha_{\text{TX}} \alpha_{\text{AOP}} r_{\text{PD}} p_0 / (\alpha_N i_0 \sqrt{\text{BW}})$$

$$\sqrt{\text{snr}_{\text{CH}}} = \alpha_{\text{AVG}} \alpha_{\text{RX}} \sqrt{(\alpha_{\text{SMF}} \alpha_G \alpha_{\text{TX}} \alpha_{\text{AOP}})} \alpha_{\text{TEC}} r_{\text{PD}} p_0 / (\alpha_N i_0 \sqrt{\text{BW}})$$

$$r_{\text{PD-DD}} \triangleq r_{\text{PD-CH}}$$

$$\text{BW}_{\text{DD}} \triangleq \text{BW}_{\text{CH}}$$

$$\sqrt{(\text{snr}_{\text{DD}} / \text{snr}_{\text{CH}})} = \alpha_{\text{AVG-DD}} \alpha_{\text{RX-DD}} \alpha_{\text{SMF}} \alpha_{\text{TX-DD}} \alpha_{\text{AOP-DD}} \alpha_{\text{N-CH}} \\ / \alpha_{\text{AVG-CH}} \alpha_{\text{RX-CH}} \sqrt{(\alpha_{\text{SMF}} \alpha_G \alpha_{\text{TX-CH}} \alpha_{\text{AOP-CH}})} \alpha_{\text{TEC}} \alpha_{\text{N-DD}}$$

A  $\triangleq$  loss in optical -dB

$$A = -10 \log_{10}(\alpha)$$

$$\Delta \text{SNR}_{\text{DD-CH}} = \text{SNR}_{\text{DD}} - \text{SNR}_{\text{CH}} = 10 \log_{10}(\text{snr}_{\text{DD}} / \text{snr}_{\text{CH}})$$

$$\begin{aligned} \Delta \text{SNR}_{\text{DD-CH}} / 2 = & - (\alpha_{\text{AOP-DD}} + \alpha_{\text{TX-DD}} + \alpha_{\text{SMF}}) \\ & + (\alpha_{\text{AOP-CH}} + \alpha_{\text{TX-CH}} + \alpha_G + \alpha_{\text{SMF}}) / 2 + \alpha_{\text{TEC}} \\ & - (\alpha_{\text{AVG-DD}} + \alpha_{\text{RX-DD}} - \alpha_{\text{N-DD}}) \\ & + (\alpha_{\text{AVG-CH}} + \alpha_{\text{RX-CH}} - \alpha_{\text{N-CH}}) \end{aligned}$$

# Summary DD vs. CH: $\Delta\text{SNR}_{\text{DD-CH}}$ dB

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$\Delta\text{SNR}_{\text{DD-CH}}$ dB	Scenario #	1		2		3	
4dB SMF Link	Scenario	Equal laser DC power		Equal total input AOP		Equal TX output AOP	
Ex. #	TX & RX Implementation	NRZ - QPSK	PAM4 - QAM16	NRZ - QPSK	PAM4 - QAM16	NRZ - QPSK	PAM4 - QAM16
1	Ideal TX & RX no loss DD ER = $\infty$ , CH MD = $V_{\pi}$	<b>5.4</b>		<b>-2.6</b>		<b>-5.6</b>	<b>-8.1</b>
2	DD CWDM4 TFF DML TX ER = 4.8, SiP CH MD = $V_{\pi}$	<b>15.4</b>		<b>7.5</b>		<b>-8.6</b>	<b>-11.1</b>
3	DD CWDM4 TFF EML TX ER = 7, SiP CH MD = $V_{\pi}$	<b>11.5</b>		<b>3.5</b>		<b>-9.3</b>	<b>-11.8</b>
4	DD PSM4 SiP TX ER = 7, SiP CH MD = $V_{\pi}$	<b>9.5</b>		<b>1.5</b>		<b>-10.3</b>	<b>-12.8</b>
5	DD CWDM4 SiP TX ER = 7, SiP CH MD = $V_{\pi}$	<b>1.5</b>		<b>-6.5</b>		<b>-16.3</b>	<b>-18.8</b>

# Conclusions DD vs. CH: Relative SNR

Application	Direct Detection SNR NRZ / PAM4		SNR Relation	Coherent SNR QPSK / QAM16		
	Implementation			Implementation		
	TX	RX		TX	RX	
Intra Datacenter 4dB SMF Link DC Power constrained	EML, DML single λ or TFF, PLC WDM	PIN single λ or TFF, PLC WDM	>>	SiP	SiP	
	single λ SiP	single λ SiP	>>	SiP	SiP	
	WDM SiP	WDM SiP	≈	SiP	SiP	
Inter Datacenter DC Power Unconstrained	Any	PIN	<<	SiP	SiP	

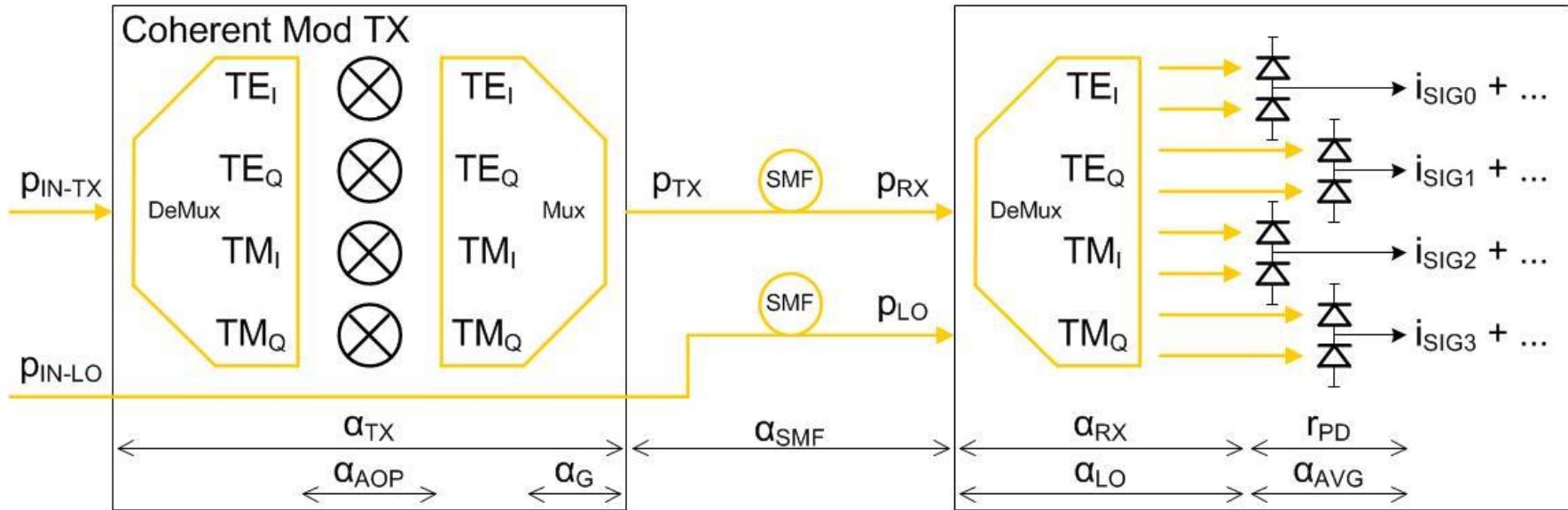
# Direct Detection vs. Coherent in the Datacenter

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Thank You

# Appx. 1: Coherent Signal & LO Same Path



$$p_{IN-TX} = 4 \alpha_{LS} \alpha_{TEC} p_0$$

$$p_{TX} = \alpha_G \alpha_{TX} \alpha_{AOP} p_{IN-TX}$$

$$p_{IN-LO} = 4 (1 - \alpha_{LS}) \alpha_{TEC} p_0$$

$$p_{RX} = \alpha_{SMF} \alpha_G \alpha_{TX} \alpha_{AOP} p_{IN-TX}$$

$$p_{PD-RX} = \alpha_{RX} p_{RX} / 4$$

$$p_{LO} = \alpha_{SMF} \alpha_G \alpha_{TX} \alpha_{AOP} p_{IN-LO}$$

$$p_{PD-LO} = \alpha_{LO} p_{LO} / 4$$

$$i_{SIG} = \alpha_{AVG} r_{PD} 2 \sqrt{(p_{PD-RX} p_{PD-LO})}$$

# Coherent Signal & LO Same Path $i_{SIG}$

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$i_{SIG} \triangleq$  RX balanced PD pair signal current

$$i_{SIG} = \alpha_{AVG} r_{PD} 2 \sqrt{(p_{PD-RX} p_{PD-LO})} \cos(\Phi)$$

$$\cos(\Phi) \triangleq 1$$

$$\alpha_{LS} \triangleq 1/2, \alpha_{LO} \triangleq \alpha_{RX}$$

$$i_{SIG} = \alpha_{AVG} \alpha_{RX} \color{red}{\alpha_{SMF} \alpha_G \alpha_{TX} \alpha_{AOP}} \alpha_{TEC} r_{PD} p_0$$

Equal DD and CH Signal & LO Same Path input AOP

$$p_{IN-DD-TX} \triangleq p_{IN-CH-TX} + p_{IN-CH-LO}$$

results in equal DD and CH Signal & LO Same Path  $i_{SIG}$

$$i_{DD-SIG} = i_{CH-SIG}$$

*The Coherent Signal & LO Same Path is for insight only.  
It is not used in DD vs. CH  $\Delta SNR$  comparisons.*

## Appx 2: $\Delta \text{SNR}_{\text{DD-CH}} = \text{SNR}_{\text{DD}} - \text{SNR}_{\text{CH}}$ Calc.

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$$\Delta \text{SNR}_{\text{DD-CH}} / 2 =$$

- $A_{\text{AOP-DD}}$	+ $A_{\text{AOP-CH}} / 2$	// TX
- $A_{\text{TX-DD}}$	+ $A_{\text{TX-CH}} / 2$	// TX
- $(- A_G / 2)$	+ $A_{\text{TEC}}$	// TX Scenario
- $A_{\text{SMF}}$	+ $A_{\text{SMF}} / 2$	// Link
- $A_{\text{RX-DD}}$	+ $A_{\text{RX-CH}}$	// RX
- $A_{\text{AVG-DD}}$	+ $A_{\text{AVG-CH}}$	// RX
- $(- A_{\text{N-DD}})$	+ $(- A_{\text{N-CH}})$	// RX

# TX Modulation Loss

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- $\alpha_{AOP}, A_{AOP} \triangleq$  TX input POP to AOP modulation loss;  
linear, -dB
- $\alpha_{AOP-NRZ} [er] = (er + 1) / (2 er)$  // Mod. TX  
 $\alpha_{AOP-NRZ} [er] = 1$  // DML TX
- $\alpha_{AOP-PAM4} [er] = (er + 1) / (2 er)$  // Mod. TX  
 $\alpha_{AOP-PAM4} [er] = 1$  // DML TX
- $\alpha_{AOP-QPSK} [2V_\pi] = 1$   
 $\alpha_{AOP-QPSK} [V_\pi] = 1/2$   
 $\alpha_{AOP-QAM16} [2V_\pi] = 5/9$   
 $\alpha_{AOP-QAM16} [V_\pi] = 5/18$
- Equal DD & CH TX modulation drive  
 $MD_{DD\text{-Max}} \triangleq \frac{1}{2} MD_{CH\text{-Max}}$   
 $MD_{CH} = V_\pi$

# TX Modulation Loss Values

- $\alpha_{AOP}, A_{AOP} \triangleq$  TX input POP to AOP modulation loss;  
linear, -dB

mod. loss variable	ER dB	DD mod. loss value -dB		DD DM loss value -dB	
		NRZ	PAM4	NRZ	PAM4
$A_{AOP-DD}$	$\infty$	3.0	3.0	0.0	0.0
	7	2.2	2.2	0.0	0.0
	4.8	1.8	1.8	0.0	0.0

mod. loss variable	MD	CH loss value -dB		CH loss value -dB / 2	
		QPSK	QAM16	QPSK	QAM16
$A_{AOP-CH}$	$2V_\pi$	0.0	2.6	0.0	1.3
	$V_\pi$	3.0	5.6	1.5	2.8

# TX Signal Path Intrinsic Loss Values

- $\alpha_{\text{TX}}, A_{\text{TX}} \triangleq \text{TX path intrinsic loss; linear, } -\text{dB}$

Ex. #	Implementation	DD loss value -dB	CH loss value -dB
		$A_{\text{TX-DD}}$	$A_{\text{TX-CH}}$
1	Ideal TX & RX no loss	0	0
2	DD CWDM4 TFF DML TX, RX CH SiP	4	14
3	DD CWDM4 TFF EML TX, RX CH SiP (ECOC'18 WS Example)	5	14
4	DD PSM4 SiP TX & RX CH SiP	6	14
5	DD CWDM4 SiP TX & RX CH SiP	8	14

# TX Scenario

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- $\alpha_{TEC}, A_{TEC} \triangleq TX_{CH}$  input POP loss due to laser TEC current; linear, -dB
- $\alpha_G, A_G \triangleq TX_{CH}$  optical gain  
 $\ln \Delta SNR_{DD-CH}$   
 $A_G TX_{CH}$  optical gain = -  $A_G TX_{DD}$  optical loss
- Scenario 1: equal laser DC power (40% efficient CH TEC)  
 $i_{Laser-bias-DD} \triangleq i_{Laser-bias-CH} + i_{Laser-TEC-CH}$   
 $\alpha_{TEC} \triangleq 0.4$   
 $\alpha_G \triangleq 1$
- Scenario 2: equal TX & LO total input POP (no CH TEC)  
 $p_{IN-TX-DD} \triangleq p_{IN-TX-CH} + p_{IN-LO-CH}$   
 $\alpha_{TEC} \triangleq 1$   
 $\alpha_G \triangleq 1$

# TX Scenario, cont.

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- Scenario 3: equal TX total output AOP (no DC power limit)

$$p_{\text{TX-DD}} \triangleq p_{\text{TX-CH}}$$

$$A_{\text{TX-DD}} + A_{\text{AOP-DD}} = A_G + A_{\text{TX-CH}} + A_{\text{AOP-CH}} + A_{\text{LS}} + A_{\text{TEC}}$$

$$\alpha_{\text{TEC}} \triangleq 1$$

$$A_{\text{TEC}} = 0$$

$$\alpha_{\text{LS}} \triangleq 1/2$$

$$A_{\text{LS}} = 3$$

$$- A_G / 2 = ((A_{\text{TX-CH}} + A_{\text{AOP-CH}} + 3) - (A_{\text{TX-DD}} + A_{\text{AOP-DD}})) / 2$$

# TX Scenario Loss Values

- $\alpha_{\text{TEC}}, A_{\text{TEC}} \triangleq TX_{\text{CH}}$  input POP loss due to laser TEC current; linear, -dB
- $\alpha_G, A_G \triangleq A_G TX_{\text{CH}}$  optical gain =  $-A_G TX_{\text{DD}}$  optical loss

$\Delta \text{SNR}_{\text{DD-CH}} / 2$ TX Scenario		DD loss variable	DD loss value -dB	CH loss variable	CH loss value -dB
1	Equal laser DC power	$-A_G / 2$	0	$A_{\text{TEC}}$	4
2	Equal total input AOP	$-A_G / 2$	0	$A_{\text{TEC}}$	0
3	Equal TX total output AOP	$-A_G / 2$	formula	$A_{\text{TEC}}$	0

# Link Loss Values

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- $\alpha_{\text{SMF}}, A_{\text{SMF}} \triangleq$  Link total power loss (connectors, SMF, other passives); linear, -dB
- Standard datacenter link loss budget  
 $A_{\text{SMF}} \triangleq 4$

DD loss value -dB	CH loss value -dB
$A_{\text{SMF}}$	$A_{\text{SMF}}/2$
4.0	2.0

# RX Signal Path Intrinsic Loss Values

- $\alpha_{RX}, A_{RX} \triangleq$  RX path intrinsic loss; linear, -dB
- $\alpha_{LO}, A_{LO} \triangleq$  RX LO path intrinsic loss;  $\alpha_{LO-CH} \triangleq \alpha_{RX-CH}$

Ex. #	Implementation	DD loss value -dB	CH loss value -dB
		$A_{RX-DD}$	$A_{RX-CH}$
1	Ideal TX & RX no loss	0	0
2	DD CWDM4 TFF DML TX, RX CH SiP	2	4
3	DD CWDM4 TFF EML TX, RX CH SiP (ECOC'18 WS Example)	2	4
4	DD PSM4 SiP TX & RX CH SiP	2	4
5	DD CWDM4 SiP TX & RX CH SiP	4	4

# RX Modulation Loss

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- $\alpha_{AVG}, A_{AVG} \triangleq$  RX PD AOP to average electrical signal power loss; linear, -dB
- $\alpha_{LS} \triangleq$  TX input POP loss due to  $(1 - \alpha_{LS})$  split with LO input
- $\alpha_{AVG-NRZ}$  [er] =  $(er - 1) / (er + 1)$   
 $\alpha_{AVG-PAM4}$  [er] =  $\sqrt{(5/9)}(er - 1) / (er + 1)$
- $\alpha_{AVG-QPSK}$   $[2V_{\pi}]$  = 1  
 $\alpha_{AVG-QPSK}$   $[V_{\pi}]$  = 1  
 $\alpha_{AVG-QAM16}$   $[2V_{\pi}]$  = 1  
 $\alpha_{AVG-QAM16}$   $[V_{\pi}]$  = 1
- Equal DD & CH TX modulation drive  
 $MD_{DD\text{-Max}} \triangleq \frac{1}{2} MD_{CH\text{-Max}}$   
 $MD_{CH} = V_{\pi}$

# RX Modulation Loss Values

- $\alpha_{AVG}, A_{AVG} \triangleq$  RX PD AOP to average electrical signal power loss; linear, -dB

Mod. loss variable	ER dB	DD Mod. loss value -dB		DD DM loss value -dB	
		NRZ	PAM4	NRZ	PAM4
$A_{AVG-DD}$	$\infty$	0.0	1.3	0.0	1.3
	7	1.8	3.0	1.8	3.0
	4.8	3.0	4.3	3.0	4.3

Mod. loss variable	MD	CH loss value -dB	
		QPSK	QAM16
$A_{AVG-CH}$	$2V_\pi$	0.0	0.0
	$V_\pi$	0.0	0.0

# Coherent Unequal SIG/LO Split Loss

- $\alpha_{ALS}, A_{ALS} \triangleq$  Unequal SIG/LO split  $\alpha_{LS} \neq \frac{1}{2}$  loss; linear, -dB  
 $\alpha_{ALS} = 2 \sqrt{(\alpha_{LS} (1 - \alpha_{LS}))}$   
 $\alpha_{LS} \triangleq 1/2$   
 $A_{ALS} = 0$   
 $\alpha_{LS} \triangleq 2/3$   
 $A_{ALS} = 0.3$
- $A'_{AVG-CH} = A_{AVG-CH} + A_{ALS}$

mod. loss variable	MD	CH loss value -dB			
		$\alpha_{LS} = 1/2$		$\alpha_{LS} = 2/3$	
		QPSK	QAM16	QPSK	QAM16
$A'_{AVG-CH}$	$2V_{\pi}$	0.0	0.0	0.3	0.3
	$V_{\pi}$	0.0	0.0	0.3	0.3

# RX Input Referred Noise Current Loss Values

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- $\alpha_N, A_N$   $\triangleq$  RX input noise current loss vs. ref.; linear, -dB
- $i_{ND}, i_0$   $\triangleq$  RX input noise current density, reference  
 $i_{ND} = \alpha_N i_0$
- RX noise current density values
  - $i_{ND-DD} = 12\text{pA} / \sqrt{\text{Hz}}$
  - $\alpha_{N-DD} \triangleq 1$
  - $i_0 = 12\text{pA} / \sqrt{\text{Hz}}$
  - $i_{ND-CH} = 20\text{pA} / \sqrt{\text{Hz}}$
  - $\alpha_{N-CH} = 5/3$

DD loss value -dB	CH loss value -dB
$A_{N-DD}$	$A_{N-CH}$
0.0	-2.2

# Ex. 1: $\Delta \text{SNR}_{\text{DD-CH}}/2$ Ideal TX & RX no loss

Ex. 1 $\Delta \text{SNR}_{\text{DD-CH}}/2$ dB		DD loss var.	DD Ideal TX ER = $\infty$ loss value -dB		CH loss var.	CH Ideal TX MD = $V_{\pi}$ loss value -dB	
Loss Type		$A_{\text{DD}}$	NRZ	PAM4	$A_{\text{CH}}$	QPSK	QAM16
TX	$A_{\text{AOP}}$	3.0	3.0	$A_{\text{AOP}}/2$	1.5	2.8	
	$A_{\text{TX}}$	0		$A_{\text{TX}}/2$	0		
1	Equal laser DC power		0.0			4.0	
2	Equal total input AOP		0.0		$A_{\text{TEC}}$	0.0	
3	Equal TX output AOP		1.5	2.8		0.0	
Link		$A_{\text{SMF}}$	4		$A_{\text{SMF}}/2$	2	
RX	$A_{\text{RX}}$	0		$A_{\text{RX}}$	0		
	$A_{\text{AVG}}$	0.0	1.3	$A'_{\text{AVG}}$	0.0	0.0	
	$-A_N$	0.0		$-A_N$	2.2		

1. Equal laser DC power		2. Equal total input AOP		3. Equal TX output AOP	
NRZ - QPSK	PAM4 - QAM16	NRZ - QPSK	PAM4 - QAM16	NRZ - QPSK	PAM4 - QAM16
2.7	2.7	-1.3	-1.3	-2.8	-4.1

# Ex.2: $\Delta \text{SNR}_{\text{DD-CH}}/2$ DD CWDM TFF, DML TX

Ex. 2 $\Delta \text{SNR}_{\text{DD-CH}}/2$ dB		DD loss var.	DD CWDM4 TFF, DML TX ER = 4.8 loss value -dB		CH loss var.	CH SiP TX MD = $V_{\pi}$ loss value -dB		
Loss Type		$A_{\text{DD}}$	NRZ	PAM4	$A_{\text{CH}}$	QPSK	QAM16	
TX	$A_{\text{AOP}}$		0.0	0.0	$A_{\text{AOP}}/2$	1.5	2.8	
	$A_{\text{TX}}$		4		$A_{\text{TX}}/2$	7		
1	Equal laser DC power	$- A_{\text{G}}/2$	0.0		$A_{\text{TEC}}$	4.0		
2	Equal total input AOP		0.0			0.0		
3	Equal TX output AOP		8.0	9.3		0.0		
Link		$A_{\text{SMF}}$	4		$A_{\text{SMF}}/2$	2		
RX		$A_{\text{RX}}$	2		$A_{\text{RX}}$	4		
		$A_{\text{AVG}}$	3.0	4.3	$A'_{\text{AVG}}$	0.0	0.0	
		$- A_{\text{N}}$	0.0		$- A_{\text{N}}$	2.2		

1. Equal laser DC power		2. Equal total input AOP		3. Equal TX output AOP	
NRZ - QPSK	PAM4 - QAM16	NRZ - QPSK	PAM4 - QAM16	NRZ - QPSK	PAM4 - QAM16
7.7	7.7	3.7	3.7	-4.3	-5.5

# Ex.3: $\Delta \text{SNR}_{\text{DD-CH}}/2$ DD CWDM TFF, EML TX

Ex. 3 (ECOC'18 WS Ex.) $\Delta \text{SNR}_{\text{DD-CH}}/2$ dB		DD loss var.	DD CWDM4 TFF, EML TX ER = 7 loss value -dB		CH loss var.	CH SiP TX MD = $V_{\pi}$ loss value -dB	
Loss Type		$A_{\text{DD}}$	NRZ	PAM4	$A_{\text{CH}}$	QPSK	QAM16
TX	$A_{\text{AOP}}$	2.2	2.2	$A_{\text{AOP}}/2$	1.5	2.8	
	$A_{\text{TX}}$	5		$A_{\text{TX}}/2$	7		
1	Equal laser DC power		0.0			4.0	
2	Equal total input AOP		0.0		$A_{\text{TEC}}$	0.0	
3	Equal TX output AOP		6.4	7.7		0.0	
Link		$A_{\text{SMF}}$	4		$A_{\text{SMF}}/2$	2	
RX	$A_{\text{RX}}$	2		$A_{\text{RX}}$	4		
	$A_{\text{AVG}}$	1.8	3.0	$A'_{\text{AVG}}$	0.0	0.0	
	$-A_N$	0.0		$-A_N$	2.2		

1. Equal laser DC power		2. Equal total input AOP		3. Equal TX output AOP	
NRZ - QPSK	PAM4 - QAM16	NRZ - QPSK	PAM4 - QAM16	NRZ - QPSK	PAM4 - QAM16
5.7	5.7	1.7	1.7	-4.6	-5.9

# Ex.4: $\Delta \text{SNR}_{\text{DD-CH}}/2$ DD PSM4 SiP

Ex. 4 $\Delta \text{SNR}_{\text{DD-CH}}/2$ dB		DD loss var.	DD PSM4 SiP TX ER = 7 loss value -dB		CH loss var.	CH SiP TX MD = $V_{\pi}$ loss value -dB		
Loss Type		$A_{\text{DD}}$	NRZ	PAM4	$A_{\text{CH}}$	QPSK	QAM16	
TX	$A_{\text{AOP}}$	2.2	2.2		$A_{\text{AOP}}/2$	1.5	2.8	
	$A_{\text{TX}}$	6			$A_{\text{TX}}/2$	7		
1	Equal laser DC power		0.0		$A_{\text{TEC}}$	4.0		
2	Equal total input AOP		0.0			0.0		
3	Equal TX output AOP		5.9	7.2		0.0		
Link		$A_{\text{SMF}}$	4		$A_{\text{SMF}}/2$	2		
RX	$A_{\text{RX}}$	2			$A_{\text{RX}}$	4		
	$A_{\text{AVG}}$	1.8	3.0		$A'_{\text{AVG}}$	0.0	0.0	
	$-A_N$	0.0			$-A_N$	2.2		
1. Equal laser DC power		2. Equal total input AOP		3. Equal TX output AOP				
NRZ - QPSK	PAM4 - QAM16	NRZ - QPSK	PAM4 - QAM16	NRZ - QPSK	PAM4 - QAM16			
4.7	4.7	0.7	0.7	-5.1	-6.4			

# Ex.5: $\Delta \text{SNR}_{\text{DD-CH}}/2$ DD CWDM4 SiP

Ex. 5 $\Delta \text{SNR}_{\text{DD-CH}}/2$ dB		DD loss var.	DD CWDM4 SiP TX ER = 7 loss value -dB		CH loss var.	CH SiP TX MD = $V_{\pi}$ loss value -dB		
Loss Type		$A_{\text{DD}}$	NRZ	PAM4	$A_{\text{CH}}$	QPSK	QAM16	
TX	$A_{\text{AOP}}$	2.2	2.2		$A_{\text{AOP}}/2$	1.5	2.8	
	$A_{\text{TX}}$	8			$A_{\text{TX}}/2$	7		
1	Equal laser DC power		0.0		$A_{\text{TEC}}$	4.0		
2	Equal total input AOP		0.0			0.0		
3	Equal TX output AOP		4.9	6.2		0.0		
Link		$A_{\text{SMF}}$	4		$A_{\text{SMF}}/2$	2		
RX	$A_{\text{RX}}$	4			$A_{\text{RX}}$	4		
	$A_{\text{AVG}}$	1.8	3.0		$A'_{\text{AVG}}$	0.0	0.0	
	$-A_N$	0.0			$-A_N$	2.2		
1. Equal laser DC power		2. Equal total input AOP		3. Equal TX output AOP				
NRZ - QPSK	PAM4 - QAM16	NRZ - QPSK	PAM4 - QAM16	NRZ - QPSK	PAM4 - QAM16			
0.7	0.7	-3.3	-3.3	-8.1	-9.4			